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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/799,336	03/12/2004	Gary S. Henderson	MSFT122459	6236
26389	7590	05/14/2007		
CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC			EXAMINER	
1420 FIFTH AVENUE			LE, MIRANDA	
SUITE 2800				
SEATTLE, WA 98101-2347			ART UNIT	PAPER NUMBER
			2167	
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			05/14/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/799,336	GARY HENDERSON	
	<b>Examiner</b>	<b>Art Unit</b>	
	Miranda Le	2167	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 12 March 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 March 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-11 are rejected under 35 U.S.C. 102(b) as being anticipated by Hodges et al. (US Patent No. 6,269,456).

**As per claim 1**, Hodges teaches a method for controlling the installation behaviors of a computing device during a software update installation, the method comprising the steps of:

obtaining a software update to be installed on the computing device (*i.e. These and other objects are achieved by a method and system for updating local client computers with antivirus software updates from a central antivirus server, col. 4, lines 50-64*);

determining whether an installation attribute is associated with the software update (*i.e. If the client computer has not been sent the most recent antivirus updates, the central antivirus server transmits updated antivirus files to that client computer over the computer network, col. 4, line 65 to col. 5, line 11*);

selectively modifying the installation behavior of the computing device according to the installation attribute if it is determined that an installation attribute is associated with the software update (*i.e. The central antivirus server comprises a first database containing*

*information related to the latest antivirus software updates contained on each local client computer, and uses push technology to transmit updated antivirus files if the local client computer's antivirus files are out of date, col. 4, lines 50-64; and i.e. Among the information transmitted from client computer 302 to central antivirus server 308 are two items of data used for achieving automated download and updating of antivirus files on client computer 302. In particular, (a) the IP address 305 of client computer 302 (e.g., 205.84.4.137), and (b) a unique user ID (e.g., "BJONES01234") are transmitted to central antivirus server 308, col. 7, lines 33-44); and*

*installing the update on the client computer (i.e. Because human intervention in the update process is minimized or eliminated altogether at the client desktop, antivirus manufacturers are free to distribute antivirus updates as often as necessary to counteract the latest computer viruses, without the need to worry about overloading users with antivirus update activity, col. 5, lines 38-48).*

**Claim 6** is the computer-readable medium to perform the method of claim 1; is similar in scope to claim 1; and therefore is rejected under similar rationale.

**As per claim 11**, Hodges teaches a method for controlling the installation behaviors of a computing device during a software update installation according to associated installation attributes, the method comprising the steps of:

*obtaining a software update to be installed on the computing device (i.e. These and other objects are achieved by a method and system for updating local client computers with antivirus*

*software updates from a central antivirus server, col. 4, lines 50-64);*

determining whether a mandatory installation attribute is associated with the software update, and if so, modifying the installation behavior of the computing device with respect to the software update such that the user of the computing device is prevented from selectively not installing the software update on the computing device (*i.e. If the client computer has not been sent the most recent antivirus updates, the central antivirus server transmits updated antivirus files to that client computer over the computer network, col. 4, line 65 to col. 5, line 11*);

determining whether a priority installation attribute is associated with the software update, and if so, modifying the installation behavior of the computing device with respect to the software update by temporarily increasing the network bandwidth request for downloading the software update's content (*i.e. When a data transfer rate between the computer network and any local computer falls below a first data rate less than the maximum data rate, the excess transport capacity is detected and used to allow transmission of updated virus software files from the central antivirus server to the local computer, col. 5, lines 12-23*);

determining whether a deadline installation attribute is associated with the software update, and if so, modifying the installation behavior of the computing device with respect to the software update such that the software update will be automatically installed on the computing device without user interaction if a deadline corresponding the deadline installation attribute has passed (*i.e. The central antivirus server comprises a first database containing information related to the latest antivirus software updates contained on each local client computer, and uses push technology to transmit updated antivirus files if the local client computer's antivirus files are out of date, col. 4, lines 50-64*);

determining whether a zero service interruption (ZSI) installation attribute is associated with the software update, and if so, modifying the installation behavior of the computing device with respect to the software update such that the software update will be automatically installed on the computing device without user interaction if the computing device is properly configured *(i.e. Among the information transmitted from client computer 302 to central antivirus server 308 are two items of data used for achieving automated download and updating of antivirus files on client computer 302. In particular, (a) the IP address 305 of client computer 302 (e.g., 205.84.4.137), and (b) a unique user ID (e.g., "BJONES01234") are transmitted to central antivirus server 308, col. 7, lines 33-44); and*

installing the update on the client computer *(i.e. Because human intervention in the update process is minimized or eliminated altogether at the client desktop, antivirus manufacturers are free to distribute antivirus updates as often as necessary to counteract the latest computer viruses, without the need to worry about overloading users with antivirus update activity, col. 5, lines 38-48).*

**As to claims 2, 7,** Hodges teaches determining whether an installation attribute is associated with the software update comprises determining whether a mandatory installation attribute is associated with the software update; and wherein selectively modifying the installation behavior of the computing device according to the installation attribute if it is determined that a mandatory installation attribute is associated with the software update comprises disallowing the user of the computing device to selectively not install the software update on the computing device *(col. 4, line 50 to col. 5, line 48).*

**As to claims 3, 8,** Hodges teaches determining whether an installation attribute is associated with the software update comprises determining whether a priority installation attribute is associated with the software update; and wherein selectively modifying the installation behavior of the computing device according to the installation attribute if it is determined that a priority installation attribute is associated with the software update comprises temporarily increasing the network bandwidth request for downloading the software update's content (*col. 4, line 50 to col. 5, line 48*).

**As to claims 4, 9,** Hodges teaches determining whether an installation attribute is associated with the software update comprises determining whether a deadline installation attribute is associated with the software update; and wherein selectively modifying the installation behavior of the computing device according to the installation attribute if it is determined that a deadline installation attribute is associated with the software update comprises: determining whether the deadline for the deadline installation attribute has passed, and if so, automatically installing the software update without further user interaction (*col. 4, line 50 to col. 5, line 48*).

**As to claims 5, 10,** Hodges teaches determining whether an installation attribute is associated with the software update comprises determining whether a zero system interruption (ZSI) installation attribute is associated with the software update; and wherein selectively modifying the installation behavior of the computing device according to the installation attribute if it is determined that a ZSI installation attribute is associated with the software update

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comprises: determining whether the computing device is configured to automatic installation of ZSI software updates, and if so, automatically installing the software update without further user interaction (*col. 4, line 50 to col. 5, line 48*).

### *Conclusion*

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Miranda Le whose telephone number is (571) 272-4112. The examiner can normally be reached on Monday through Friday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John R. Cottingham, can be reached on (571) 272-7079. The fax number to this Art Unit is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Miranda Le  
May 07, 2007